

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s) :** Garcia Gravalos et al.

**International  
Application No.:** PCT/GB00/02473

**US Serial No. :** 10/019,388

**Examiner :** TBA

**Filed :** December 28, 2001

**Group Art Unit::** TBA

**For :** NEW INDOLOCARBAZOLE ALKALOIDS FROM  
A MARINE ACTINOMYCETE

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231  
**Attention: DO/EO/US**

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that the attached:

1. Response to Notification of Missing Requirements under 35 USC 371(2 pages), and a check for \$ 130.00 to cover surcharge fee under 37 CFR 1.492(e);
2. Copy of the Notification;
3. Declaration and Power of Attorney (2 pages);
4. Assignment of Patent Application (2 pages), Recordation Cover Sheet and a check for \$ 40.00 (recording fee); and
5. Return Receipt Postcard.

(Along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date indicated below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Box PCT, DO/EO/US, Washington, D.C. 20231.

Respectfully submitted  
GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 04.16.2002

Zoya Chernina  
Zoya V. Chernina

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**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371  
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office, issued in the above-identified application on March 13, 2002, enclosed is a duly executed Declaration and Power of Attorney document.

Also enclosed is a check in the amount of one hundred thirty dollars (\$130.00) to cover a non-small entity surcharge for filing the Declaration on a date later than the appropriate 30 months from the priority date, pursuant to 37 C.F.R. 1.492(e).

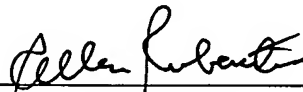
If any additional fee is required, authorization is hereby given to charge the amount of any

Docket No. 211-213

such fee to the Deposit Account No. 07-1730, Docket No. 211-213. A duplicate copy of this Communication is attached for that purpose.

Respectfully submitted  
GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 4/14/02

  
Allen I. Rubenstein  
Agent for Applicants  
Registration No. 27,673

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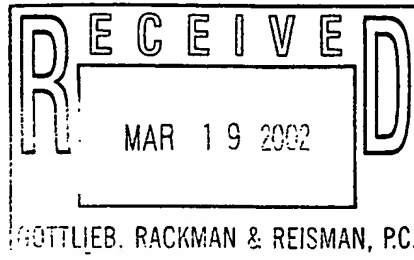
UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

51362

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,388	Garcia Gravalos	211-213

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INTERNATIONAL APPLICATION NO.	
PCT/GB00/02473	
I.A. FILING DATE	PRIORITY DATE
06/28/2000	06/28/1999

CONFIRMATION NO.-6349

371 FORMALITIES LETTER



\*OC000000007627713\*

Date Mailed: 03/13/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 305-3688

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,388	PCT/GB00/02473	211-213

FORM PCT/DO/EO/905 (371 Formalities Notice)

04/29/2002 HALI11 00000030 10019388

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